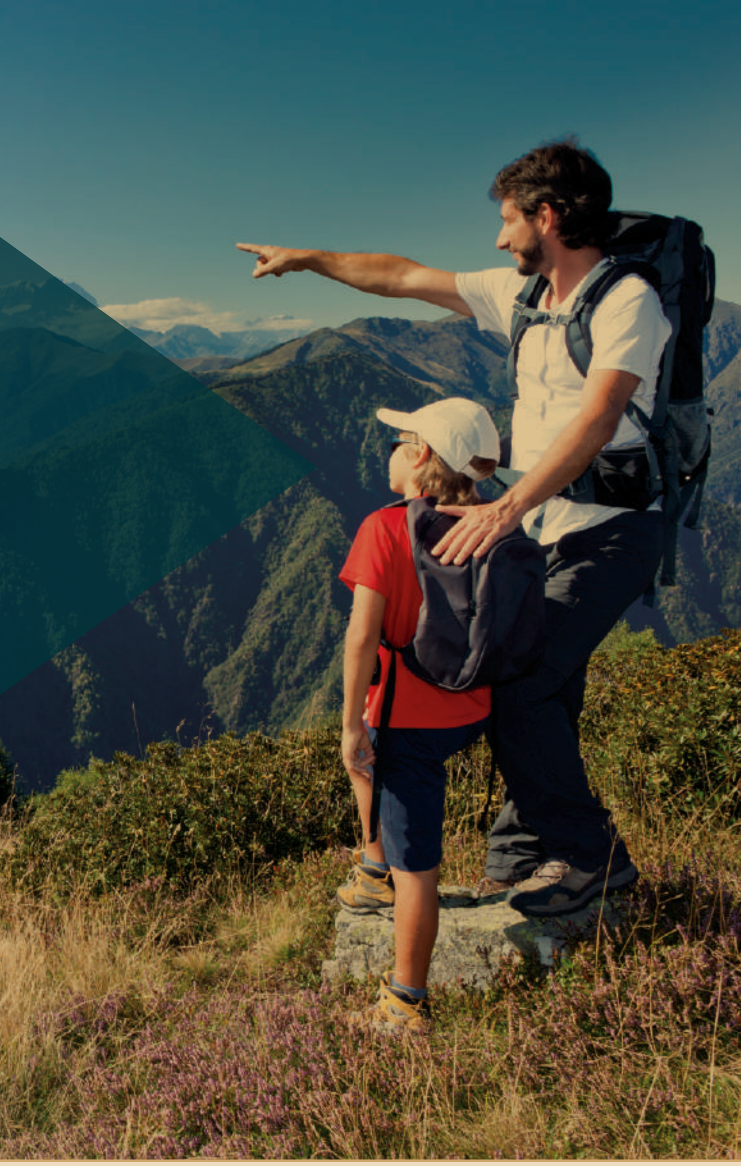
Emkay WEALTH MANAGEMENT

Accumulation
Preservation
Transmission



Growing Wealth is not easy.

It's a long and hard journey that often sees unexpected twists and turns.

To navigate the journey and come up a winner, you need the right financial coach by your side, who can be your guide for generations.

Enter Emkay Wealth Management.

A Walkthrough

Emkay Global Financial Services Ltd.

Founded in 1995 with a clear goal of offering sound research-backed financial advice

Emkay has successfully served a wide variety of highly distinguished clientele around the world

Emkay commands a 2.25% Market Share in Domestic Broking business in India #

#as on 30th Sept 2023

Promoters

Krishna Kumar Karwa, Managing Director

A rank holder from the Institute of Chartered Accountants of India (ICAI), Krishna is the Promoter and Managing Director of the company. He has a rich and varied experience of more than three decades in all aspects of the Equity Capital Markets and overlooks the Research, Asset Management and Corporate Advisory divisions at Emkay.

Prakash Kacholia, Managing Director

A qualified Chartered Accountant, Prakash is the Promoter and Managing Director of the company. He has a rich experience of more than three decades in the Capital Market and overlooks the Derivatives business and Retail division at Emkay. He has been on the board of the SEBI Committee on Derivatives. He has also served as a Director on the boards of Bombay Stock Exchange Limited, BOI Shareholding Limited and Central Depository Services (India) Limited.

Leadership

Dr. Joseph Thomas, Head of Research - Emkay Wealth

A Masters in Economics and a Ph.D in Management, Dr. Thomas brings to the table a rich experience spanning three decades. His views on the economy, markets, portfolios and financial products are highly appreciated and pursued. He is a visiting faculty at numerous management and professional institutes and has also presented research papers at national and international conferences.

Ashish Ranawade, Head of Products - Emkay Wealth

An alumni of Columbia Business School, a Masters in Management from JBIMS and an Engineer from VJTI, Mumbai, Ashish brings in over 25 years of experience in financial services and investment management. He has been a fund manager for various funds, ranging from private equity, fixed income and hybrid to Equity. As a CIO and Head of PMS and Offshore Funds in his previous stints, he has lead teams and built assets across products. He brings in a rich experience and product knowledge to the team and his process oriented approach and Institutional background are an asset to our Institutional and high net-worth clients.

Parag Morey, Head of Sales - Emkay Wealth

A post graduate in Finance from Pune University, Parag holds over 21 years of experience in the financial services industry. He is renowned in the industry for his astute leadership skills and has been extremely successful in building teams during his earlier stints. At Emkay he will be driving the entire sales function of the division and setting out quality standards for various operational areas, implementing quality systems and procedures to facilitate a high quality customer experience.

Ashish Todi, Head of Strategy & New Initiatives - Emkay Wealth

With a Masters in Finance & Marketing and several leadership programs from IIM-A & ISB Hyderabad, Ashish comes with over a decade of experience in the Wealth Management space. His core competencies include strategy and alliances, negotiations and relationship management, business development, customer relationship management and customer engagement.

Namita Agarwal, DVP Succession Planning - Emkay Wealth

A lawyer and a Company Secretary, Namita comes with a decade of experience in succession planning. She provides specialized and personal advice to families, business houses and high net worth individuals having wealth across various asset classes, geographies and complex business structures, keeping in mind the religious laws applicable in India, the succession laws for each class of assets and cross border succession laws.

Emkay Wealth - Discover the Edge

Institutional Equity Focus

320 empanelments between

MUTUAL FUNDS | **FIIS** | **FINANCIAL INSTITUTIONS**

MUTUAL FUNDS: clients include all domestic - private & public AMCs

FIIS: clients include Citi, Goldman Sachs, Morgan Stanley, Merill Lynch, Indea Capital, Blackrock, GMO, Barclays etc.

Market Share*

Domestic Mutual Funds

2.56%

FIIs

0.16%

CATERING PRIMARILY TO

- INDIA
- USA
- EUROPE
- SOUTH EAST ASIA

*as on 30th Sept 2023

Market Share amongst FIIs*

2.57%	0.06%	0.34%
Index Futures	Index Options	Stock Futures

Research Leadership

216 COMPANY COVERAGE

147 Active

69 Soft

COVERAGE LARGE CAP

41/ 50 Nifty Constituents

28/ 30 Sensex Constituents

CORPORATE ACCESS TEAM

Sector Focused Conferences

Sector Expert Roadshows

Active Channel Checks and Multi-sector dealer Conferences

Asset Management Arm

- Offers PMS & AIFs
- Research-backed Investments in Sectors & Companies with Secular Growth Outlook
- Ability to carve products based on client requirements

LLP with Azalea Capital: A boutique investment bank, focused on broad set of strategies across Capital Structure of companies in the form of Private Financing, Structured Financing/ Distressed Assets.

Forex Advisory: A dedicated Forex Advisory desk and strong Research enables designing of customized hedging and risk management strategies.

Equity Capital Markets: A SEBI registered Category 1 Merchant Banker, with an experienced team of professionals that come with strong relationships across regulatory and investor space.

Estate & Succession Planning Services: We enable families transition their wealth from one generation to the next.

Recent Awards & Accolades

Emkay Wealth Management recognised as **One of the Top 10 Wealth Management Advisors - 2020** by **CEO Insights** magazine, an annual recognition for being center stage for industry leaders to portray their business acumen & insights and for high quality, secure and reliable wealth management services.

Asiamoney Brokers Poll is the largest Asia-focused equity services provider poll.
Emkay received the following ranks in 2021

Best Analyst for Energy	**Rank 1**	**Best Team for Energy**	**Rank 2**
Best Analyst for Media	**Rank 2**	**Best Team for Media**	**Rank 2**
Best Analyst for Telecommunication Services	**Rank 4**	**Best Team for Telecommunication Services**	**Rank 6**
Best Analyst for Automobiles & Components	**Rank 5**	**Best Team for Consumer Discretionary**	**Rank 6**
Best Analyst for Consumer Discretionary	**Rank 6**	**Best Team for Automobiles & Components**	**Rank 7**

Best Technology Team (Wealth Management) at the 2nd Annual NBFC and FinTech Excellence Awards 2023 by Quantic Business Media

Best Research in the **Commodity** Segment for 2022, by MCX

Emkay Investment Managers Ltd. bagged the award for the **'Most Innovative Company of the year** (BFSI)' at the National Feather Awards, 2022

Best post COVID AIF across all categories absolute - **Emkay Emerging stars Fund** (Returns 6 Months) by PMS AIF WORLD

Emkay | WEALTH MANAGEMENT

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